

(1) 9994 78 75 3

(19)



Europäisches Patentamt

European Patent Office

Office européen des brevets



(11)

EP 0 767 546 A2

(12)

## EUROPEAN PATENT APPLICATION

(43) Date of publication:

09.04.1997 Bulletin 1997/15

(51) Int Cl.<sup>6</sup> H04B 7/06

(21) Application number: 96306967.9

(22) Date of filing: 25.09.1996

(84) Designated Contracting States:  
DE ES FR GB IT

(30) Priority: 04.10.1995 US 539211

(71) Applicant: AT&T Corp.  
New York, NY 10013-2412 (US)

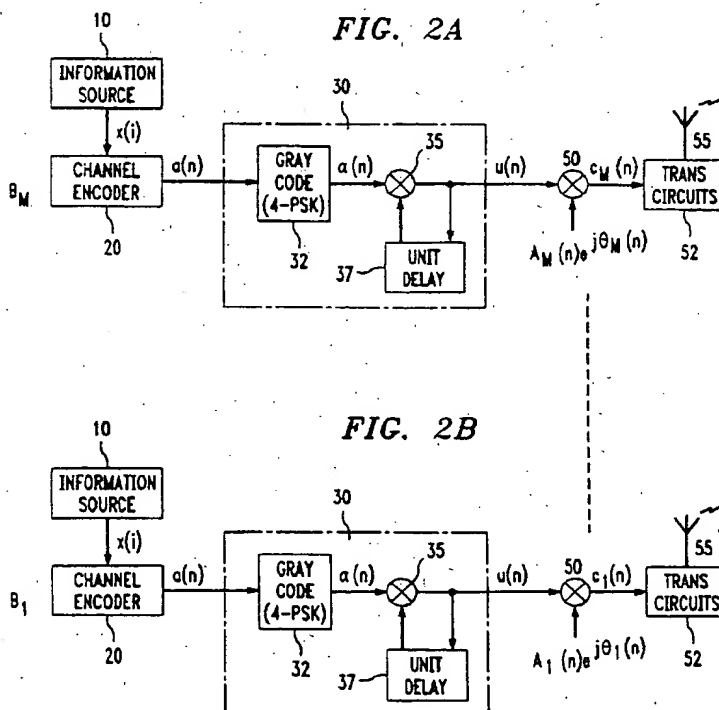
(72) Inventor: Weerackody, Vijitha  
Watchung, New Jersey 07060 (US)

(74) Representative:  
Buckley, Christopher Simon Thirsk et al  
Lucent Technologies,  
5 Mornington Road  
Woodford Green, Essex IG8 0TU (GB)

### (54) Method and apparatus for providing time diversity using multiple base stations

(57) The invention provides a method and apparatus for transmitting digital signaling information (from 10) to a receiver using a plurality of antennas (55). The invention involves applying a channel code (in 20) to a digital signal producing one or more symbols. A plurality of symbol copies is made and each copy is weighted (in 50) by a distinct time varying function. Each of the antennas which are located at two or more base stations

transmits a signal based on one of the weighted symbol copies. Any channel code may be used with the invention, such as a convolutional channel code or block channel code. Weighting provided to symbol copies may involve application of an amplitude gain, phase shift, or both. The present invention may be used in combination with either or both conventional interleavers and constellation mappers.



EP 0 767 546 A2

BEST AVAILABLE COPY

## Description

**FIELD OF THE INVENTION**

5 The present invention relates generally to the field of communications systems, and particularly to the field of wireless communications, such as e.g. cellular radio, from two or more base stations to a given receiver wherein the base stations transmit the same signal, but a relative time-varying phase offset is applied between the two transmitted signals.

**BACKGROUND OF THE INVENTION**

10 In wireless communication systems, an information signal is communicated from a transmitter to a receiver via a channel comprising several independent paths. These paths are referred to as multipaths. Each multipath represents a distinct route an information signal may take in traveling between the transmitter and receiver. An information signal communicated via such a channel - a multipath channel - appears at a receiver as a plurality of multipath signals, one signal for each multipath.

15 The amplitudes and phases of signals received from a transmitter through different multipaths of a channel are generally independent of each other. Because of complex addition of multipath signals, the strength of received signals may vary between very small and moderately large values. The phenomenon of received signal strength variation due to complex addition of multipath signals is known as fading. In a fading environment, points of very low signal strength, or deep fades, are separated by approximately one-half of a signal wavelength from each other.

20 Wireless communication channels can be described by certain channel characteristics, such as amplitude attenuation and phase shifting. For example, the multipaths of a channel may provide different amplitude attenuations and phase shifts to an information signal communicated from a transmitter to a receiver. These different amplitude and phase characteristics may vary due to e.g., relative movement between transmitter and receiver, or changes in local geography of the transmitter or receiver due to movement. Because of the variation of channel characteristics, a receiver can experience a signal whose strength varies with time. This variation is the manifestation of the complex addition of multipath signals having time varying amplitudes and phases.

25 If the characteristics of a multipath channel vary slowly, a receiver experiencing a deep fade may observe a weak signal for a long period of time. Long fades are not uncommon in, e.g., indoor radio systems, where relative movement between receivers and transmitters is slow or nonexistent (often, one of these two is an immobile base station; the other is a mobile device carried by a person). Since the duration of a deep fade in an indoor radio system may be large in comparison to the duration of information symbols being communicated, long bursts of symbol errors may occur (due to the weakness of received signal strength for an extended period of time).

30 Space diversity is a classical technique for mitigating the detrimental effects of fading, such as error bursts. Space diversity is provided through the use of a plurality of antennas at a receiver. If the receiver antennas are separated by more than a couple of wavelengths, the multipath signals received by the individual receiver antennas are approximately independent of each other. When several antennas are used by a receiver, the probability that received signals will yield a deep fade at all antennas simultaneously is small. Thus, signals received by these antennas may be combined to reduce the effects of fading.

35 Space diversity, however, is not without its drawbacks. For example, space diversity requires the use of a plurality of widely spaced antennas. For small portable receivers this requirement is problematic. Also, space diversity increases the complexity of a receiver, thereby increasing its cost.

40 Time diversity is another technique which has been employed to mitigate the detrimental effects of fading. Time diversity may be achieved by transmitting a plurality of copies of an information signal during distinct time intervals. These transmission time intervals should be separated in time so that received signals are subjected to independent fades. Once the plurality of signal copies have been received by a receiver, the independent nature of their fades facilitates avoidance of the detrimental effects of fading.

45 Like space diversity, time diversity also has its drawbacks. Time diversity is predicated on the idea of identical signal transmission at different times. However, the time needed to receive a plurality of copies of an information signal presents a delay in the communication process which may be undesirable, if not intolerable.

50 Time diversity can also be effectively obtained when a channel code is used in conjunction with an interleaver/deinterleaver pair well known in the art. An interleaver receives a set of consecutive channel coded data symbols for transmission and rearranges them in, e.g., a pseudo random fashion. Typically, the number of symbols in the set extend for a duration beyond that of a slow deep fade. Rearranged symbols are transmitted over the channel to a receiver having a single antenna. By virtue of transmission, consecutive symbols are subject to similar fading. However, these consecutively transmitted symbols are not in original order. A receiver equipped with a deinterleaver rearranges the symbols back to their original order. Due to the randomness of their transmission order, data symbols presented to the

channel decoder by the deinterleaver have been subject to essentially independent fades. The independent symbol fading afforded by the interleaver/deinterleaver pair may be utilized to avoid fading's detrimental effects.

However, as with the first time diversity technique discussed above, a transmission delay is created by this approach. This delay is directly proportional to the size of the interleaver. Allowable transmission delay imposes limits on the size of the interleaver. However, an interleaver of a size beyond the imposed limit may be needed to deal effectively with fading.

### SUMMARY OF THE INVENTION

The present invention provides a method and apparatus for mitigating the detrimental effects of fading. It does this by effectively varying the characteristics of a multipath communication channel to provide a time diversity with a reduced delay effect. More specifically, this invention provides for the wireless transmission from two or more base stations to a given receiver. The base stations transmit the same signal, but a relative time-varying phase offset is applied between the two transmitted signals. This phase offset avoids long slow fades and the problems associated therewith.

A first illustrative embodiment of the present invention provides time diversity by increasing the rate of multipath channel fading. The embodiment further provides information redundancy through use of a channel code. The increased fading rate shortens the duration of fades so as to facilitate avoidance of long error bursts. The redundancy introduced by the channel code helps mitigate errors which may occur due to fading. The embodiment provides a channel coder for applying the channel code to a digital information signal. The channel coder produces one or more coded information symbols which are processed by a constellation mapper. A copy of each symbol is then provided to a multiplier employed in each of the transmitters. Each multiplier is associated an antenna at each transmitter. Each multiplier weighs the copy of the symbol with a distinct time varying function. Illustratively, each of these time varying functions provides a distinct phase offset to a copy of a symbol. The output of each multiplier is provided to its associated antenna at each transmitters for transmission to a receiver. The receiver for use with this embodiment comprises a single antenna for receiving the weighted symbols and a channel decoder which is complementary to the channel encoder.

A second illustrative embodiment of the present invention employs a particular kind of channel code, referred to as a block code. Like the first embodiment, this embodiment employs two or more base stations each having an antenna. The base stations transmit the same signal, but a relative time-varying phase offset is applied between the two transmitted signals. This phase offset avoids slow fades. Each base station employs multipliers such as those described above to weight each of M copies of a block coded symbol, this time with a distinct discrete phase shift where M is a positive integer representing the number of base stations. Each weighted copy is provided for transmission to a receiver by an antenna. As with the first embodiment, a receiver for use with this embodiment comprises a single antenna for receiving the weighted symbols and a channel decoder which is complementary to the channel encoder.

### BRIEF DESCRIPTION OF THE DRAWINGS

FIGS. 1A and 1B presents two signal phasors from two transmitting antennas at two base stations at specific points in space where deep fades occur.

FIG. 2A and 2B presents a first illustrative embodiment of the present invention.

FIG. 3 presents a receiver for use with the first illustrative embodiment.

FIGS. 4A and 4B present the illustrative embodiments of FIGS. 2A, 2B and 3 augmented to include an interleaver/deinterleaver pair, respectively.

FIGS. 5A and 5B present a second illustrative embodiment of the present invention.

FIG. 6 presents a receiver for use with the second illustrative embodiment.

### DETAILED DESCRIPTION

#### Introduction to the Illustrative Embodiments

The illustrative embodiments of the present invention concern a wireless communication system such as, e.g., an indoor radio communication system, a cellular system, or personal communications system. In such systems, two or more base stations commonly use one or more antennas for receiving transmitted signals. These antennas provide the base station with space diversity. According to the principles of the present invention, each antennas at each base station should be used for the transmission of signals to the mobile units. Accordingly, two or more base stations may be utilized in order to transmit the wireless transmission to a given receiver. Advantageously, the same plurality of antennas used for base station reception may be used for transmission to the mobile units. These mobile units employ but one antenna.

Consider, for example, an indoor radio system comprising a first base station B1 having an antenna, T1, and a second base station B2 having an antenna, T2, both antennas T1 and T2 are provided for transmitting a channel coded signal in, for example, a Rayleigh fading channel (that is, a channel without a line-of-sight path between transmitter and receiver) and a mobile receiver. In such a system, the typical delay spread between received multipath symbols is on the order of several nanoseconds—a very small spread in comparison to the duration of a channel code symbol.

FIG. 1 depicts received signal phasors S1 and S2, from antennas T1 and T2 at respective base stations B1 and B2, at specific points in space where a deep fade can occur. The signals S1 and S2 are independently and identically distributed with, e.g., Rayleigh amplitude and uniform phase. Furthermore, the characteristics of the channel through which phasors S1 and S2 are communicated change slowly, so that the deep fades depicted in FIG. 1 are essentially static. The deep fades at the locations corresponding to FIG. 1(a) occur because of destructive addition of the signals from the two base station antennas. The deep fades shown in FIG. 1(b) occur because of the weakness of received signal energy from each individual antenna T1 and T2.

The first illustrative embodiment of the present invention introduces very small time varying phase offsets  $\theta_1(n)$  and  $\theta_2(n)$  to the signals transmitted at antennas T1 and T2, respectively. These offsets have the effect of a slow rotation of the phasors S1 and S2. If  $\theta_1(n)$  and  $\theta_2(n)$  are time varying, S1 and S2 will destructively interfere with each other only for a small fraction of time. If a channel code is employed, this technique can be used to reduce the deep fades shown in FIG. 1(a).

The first illustrative embodiment may be extended to deal with the deep fades presented in FIG. 1(b). All that is required is the use of additional transmitting antennas to help contribute to received signal strength. A discussion of the embodiment below is generic to the number of transmitting antennas, each located at base stations B1 and B2. It will be appreciated that an embodiment of the invention may be employed with M base stations having an antenna that transmits appropriate signals to avoid deep fades as illustrated herein.

Like the first illustrative embodiment, the second illustrative embodiment introduces phase offsets prior to signal transmission. In the second embodiment, a particular type of channel code—a block code—is used. Given the use of this code, the phases of signals transmitted from one or more of the base station and their antennas are shifted to take on a set of discrete values which depend on the number of base stations, M, and the length of a block code codeword, N.

As with the first illustrative embodiment, this embodiment addresses both types of deep fades presented in FIG. 1, with the deep fade shown in FIG. 1(b) addressable by extension to a larger number of transmitting antennas. A disclosure of this embodiment is also generic to the number of antennas provided, M, and the number of symbols in a codeword, N.

For clarity of explanation, the illustrative embodiments of the present invention are presented as comprising individual functional blocks. The functions these blocks represent may be provided through the use of either shared or dedicated hardware, including, but not limited to, hardware capable of executing software. Illustrative embodiments may comprise digital signal processor (DSP) hardware, such as the AT&T DSP16 or DSP32C, and software performing the operations discussed below. Very large scale integration (VLSI) hardware embodiments of the present invention, as well as hybrid DSP/VLSI embodiments, may also be provided.

#### A First Illustrative Embodiment

A first illustrative embodiment of the present invention is presented in FIGS. 2A and 2B. The embodiment are radio communication system base station transmitter B1 and B2 for use in, e.g., cellular radio and other types of personal communications systems. As is evident, two or more base stations are utilized to transmit the wireless transmission to a given receiver. As shown in Figs. 2A and 2B, each base station B1 and B2 transmits the same signal, but a relative time-varying phase offset is applied between the two transmitted signals.

As shown in FIGS. 2A and 2B, each transmitter B1, B2 comprises a channel coder 20, a DPSK modulator 30, multiplication circuit 50, transmission circuitry 52 (comprising conventional carrier, pulse shaping, and power amplification circuits), and a transmitting antenna 55.

Channel coder 20 may be any of the conventional channel coders well known in the art. These include convolutional or block codes, e.g., a rate one-half memory 3 convolutional code. Coder 20 provides a channel code to a pulse code modulated digital information signal,  $x(i)$ , representing, e.g., speech. Signal  $x(i)$  is provided by a conventional information source 10 such as, e.g., an ordinary telephone network, coupled to the base station transmitter, providing signals to be transmitted to a mobile receiver or, more simply, a microphone, audio front-end circuitry, and an analog-to-digital converter in combination. It will be apparent to one of ordinary skill in the art that the present embodiments may be used with any information source which provides or may be adapted to provide digital data.

Output from channel coder 20 are complex data symbols;  $a(n)$ , where  $a(n) = a_r(n) + j a_i(n)$  and  $n$  is a discrete time index; illustratively,  $a_r(n), a_i(n) \in [-1, 1]$  (the discrete time index  $i$  has been changed to  $n$  to reflect the fact that the time indices for information bits and channel coded symbols may not coincide). Each such symbol,  $a(n)$ , is provided to a conventional 4-DPSK constellation mapper 30 well known in the art. Constel-

lation mapper 30 comprises a conventional Gray coded 4-PSK constellation mapper, a multiplier 35, and a unit delay register 37. It will be further apparent to one of ordinary skill in the art that any conventional constellation mapper, or no constellation mapper at all, may be used with these embodiments.

The 4-PSK constellation mapper 30 processes complex data symbols  $a(n)$  received from channel coder 20 as follows:

$$\alpha(n) = e^{j\alpha_l(n)\left(\frac{\pi}{2} - \alpha_r(n)\frac{\pi}{4}\right) - j\frac{\pi}{4}} \quad (1)$$

The Gray coded 4-PSK complex symbols,  $\alpha(n)$ , are provided to multiplication circuit 35 where they are multiplied by the output of unit delay register 37 as follows:

$$u(n) = \alpha(n)u(n-1) \quad (2)$$

The results of the operation of the multiplication circuit 35 and delay register 37 are complex 4-DPSK coded symbols,  $u(n)$ . This embodiment provides one complex 4-DPSK symbol,  $u(n)$ , for each complex symbol,  $a(n)$ , provided by the channel coder 20. Each such symbol,  $u(n)$ , is provided to a multiplication circuit 50, transmission circuitry 52, and transmitting antenna 55. Illustratively, there may be two base stations.

Each multiplication circuit 50 multiplies a complex symbol,  $u(n)$ , provided by constellation mapper 30, by a complex time-varying function of the form

$$A_m(n)e^{j\theta_m(n)}$$

where  $m$  indexes the plurality of  $M$  antennas, each associated with a base station,  $A_m(n)$  is an amplitude weight for the  $m$ th antenna, and  $\theta_m(n)$  is a phase offset for the  $m$ th antenna. Illustratively,

$$A_m(n) = \frac{1}{\sqrt{M}} \quad (3)$$

$$\theta_m(n) = 2\pi f_m n T_d$$

where  $f_m = f_\Delta[(m-1) - \frac{1}{2}(M-1)]$ ;  $T_d$  is the reciprocal of the transmitted symbol data rate; and  $f_\Delta$  is a small fraction of the transmitted symbol data rate, e.g., 2% thereof. So, for example, if the data symbol rate is 8 kilosymbols/second,  $T_d = 0.125$  ms and  $f_\Delta = 160$  Hz (symbols/second).

What results from the operation of multiplier 50 is a complex symbol,  $c_m(n)$ , provided to a conventional transmission circuitry 52 and an antenna 55. Signals reflecting symbols  $c_m(n)$  are transmitted substantially simultaneously by antennas 55 to a conventional single antenna receiver equipped with a channel decoder (complementary to channel coder 20). Thus, the first illustrative embodiment provides for parallel transmission of data symbols by a plurality of  $B$  base stations and wherein each symbol is multiplied prior to transmission by a unique complex function.

FIG. 3 presents an illustrative conventional receiver for use with the first illustrative embodiment presented in FIG. 2. The receiver comprises an antenna 60 and conventional front-end receiver circuitry 62 (comprising, e.g., low noise amplifiers, RF/IF band-pass filters, and a match filter) for receiving a transmitted signal,  $s(n)$ , from the transmitting antennas 55. Signal  $s(n)$  is given by the following expression:

$$s(n) = \sum_{m=1}^M A_m(n)e^{j\theta_m(n)}\beta_m(n)u(n) + v(n) \quad (4)$$

where  $M$  is the total number of transmitting antennas 55,  $A_m(n)$  and  $\theta_m(n)$  are as described above,  $\beta_m(n)$  represents the complex fading on each of  $m$  multipath channels,  $u(n)$  is as described above, and  $v(n)$  is a complex additive white Gaussian noise component (of course, expression (4) is merely a model for a signal actually received by the receiver;

no calculation of expression (4) is needed for purposes of the present invention).

Signal  $s(n)$  is provided to a 4-DPSK demodulator 65. The output of the 4-DPSK demodulator 65,  $\hat{a}(n)$ , is an estimate of the output of the channel encoder 20 of the transmitter (the "hat" indicating an estimated value). Demodulator 65 provides  $\hat{a}(n)$  according to the following expression:

$$\hat{a}(n) = s(n)s^*(n-1) e^{j\frac{\pi}{4}} \quad (5)$$

where  $s^*$  indicates the complex conjugate of  $s$ . Complex symbol  $\hat{a}(n)$  is then provided to conventional channel decoder 70 (complementary to channel coder 20) which provides a decoded information signal estimate,  $x(i)$ . Information signal estimate,  $x(i)$ , is provided to information sink 75 which makes use of the information in any desired manner, such as, e.g., digital-to-analog conversion, amplification and application to a transducer such as a loudspeaker.

The first illustrative embodiment of the present invention may be augmented to include a conventional interleaver/deinterleaver pair. See FIG. 4A and 4B. As noted previously, use of an interleaver/deinterleaver pair in conventional slowly fading systems can result in large transmission delays. This is because to be useful, an interleaver must operate on many symbols (i.e., a number of symbols which when multiplied by the reciprocal of the symbol data rate yields a duration far in excess of the duration of an expected fade). For example, assuming a convolutional channel code, the interleaver operates on a number of samples equal to ten times the duration of an expected fade. Thus, a conventional deinterleaver must wait to receive all such symbols before deinterleaving can occur. This causes delay.

By virtue of the faster fading provided by the first illustrative embodiment of present invention, a smaller interleaver/deinterleaver pair may be used, resulting in enhanced performance with less delay than that associated with slowly fading channels.

The first illustrative embodiment of the present invention may be advantageously combined with conventional multiple antenna receivers providing space (or antenna) diversity. All that is required of the receiver is that it employ a channel decoder which is complementary to that used in the transmitter.

#### A Second Illustrative Embodiment

The second illustrative embodiment of the present invention is presented in FIGS. 5A and 5B. As with the first illustrative embodiment of the present invention, each base station includes an information source 10 which presents a digital information signal,  $x(i)$ , for transmission to a receiver. The channel coder 85 provides an illustrative block code—e.g., a conventional one-half rate repetition code with block length  $N = 2$ . The repetition code provided by the channel coder 85 produces a code symbol  $d(n) \in [-1, 1]$ . This symbol is repeated such that the output from coder 85 at time  $n$  comprises two symbols,  $a_1(n)$  and  $a_2(n)$ , both of which are equal to  $d(n)$ .

The coded symbols,  $a_1(n)$  and  $a_2(n)$ , are mapped to a 4-PSK constellation using a Gray coder 90. The output of Gray coder 90 is provided according to the following expressions:

$$\alpha(n) = e^{j\alpha_1(n+1)\left(\frac{\pi}{2} - a_1(n)\frac{\pi}{4}\right) - j\frac{\pi}{4}} \quad (6)$$

$$\alpha(n+1) = e^{j\alpha_2(n+1)\left(\frac{\pi}{2} - a_2(n)\frac{\pi}{4}\right) - j\frac{\pi}{4}} \quad (7)$$

This output,  $\alpha(n)$ , is provided to multiplier 35 where it is weighted by the output of two symbol unit delay 95. This weighting provides 4-DPSK constellation mapping 97 according to the following expression:

$$u(n) = \alpha(n)u(n-2) \quad (8)$$

For each base station, the result of 4-DPSK constellation mapping,  $u(n)$ , is provided to a multiplier 50, transmission circuitry 52, and associated antenna 55.

As a general matter, for a block code wherein each codeword comprises  $N$  symbols (with time indices given by  $n, n+1, \dots, n+N-1$ ), each multiplier provides a phase shift,  $\theta_m$ , for the signals to be transmitted at an antenna at the  $M$ th base station. For up to the first  $M$  symbols of a codeword, the phase shift  $\theta_m$  applied by the  $m$ th multiplier is:

$$\theta_m(l) = 2 \frac{\pi}{M} (m-1) (1-n), \text{ for } n \leq 1 \leq (n+M-1) \quad (9)$$

For any symbols of a codeword exceeding M (i.e.,  $M < N$ ), the phase shift  $\theta_m(l)$  applied by the  $m$ th multiplier is:

$$\theta_m(l) = 2 \frac{\pi}{kM} (m-1) (1-M-n+1), \text{ for } n \cdot M \leq 1 \leq (n \cdot N-1) \quad (10)$$

where 1 is a time index and  $k$  and  $n'$  are integers which satisfy the following expressions:

$$(k-1)M+1 \leq N \leq kM$$

and

$$n'(k-1) < (1-M-n+1) \leq (n'+1)(k-1) \quad (11)$$

This phase shift technique provides  $M$  uncorrelated symbols and  $N-M$  partially decorrelated symbols. As with the first embodiment of the present invention, this embodiment may be augmented with a conventional interleaver/deinterleaver pair. In this case, the interleaver/deinterleaver pair operates to further decorrelate the  $N-M$  partially decorrelated transmitted symbols.

For the above second illustrative embodiment, the first multiplier 50 at the first base station provides a phase shift  $\theta_1(n) = \theta_1(n+1) = 0$ , while the second multiplier 50 at the second base station provides a phase shift  $\theta_2(n) = 0$  and  $\theta_2(n+1) = \pi$ . Each of these multipliers provides an amplitude  $A_m(l)$  of unity. The signal received by a receiver,  $s$ , will be of the form

$$s(n) = (\beta_1(n) + \beta_2(n))u(n) + v(n)$$

$$s(n+1) = (\beta_1(n+1) - \beta_2(n+1))u(n+1) + v(n+1) \quad (12)$$

where  $\beta_1(n)$  and  $\beta_2(n)$  are the complex fading coefficients,  $T$  is the sampling interval, and  $v(n)$  is the additive white Gaussian noise component. The fading coefficients  $\beta_1(n)$  and  $\beta_2(n)$  are independently and identically distributed complex Gaussian random variables with mean equal to zero.

The complex envelope of the received signal,  $s$ , is

$$r(n) = \beta_1(n) e^{j\theta_1(n)} + \beta_2(n) e^{j\theta_2(n)} \quad (13)$$

For this embodiment, values  $r(n)$  and  $r(n+1)$  are completely uncorrelated.

FIG. 6 presents an illustrative receiver for use with the second illustrative embodiment presented in FIG. 5. The receiver comprises an antenna 60 and conventional front-end receiver circuitry 62 for receiving a transmitted signal,  $s(n)$ , from the transmitting antennas 55 of each base transmitter B1 and B2. Signal  $s(n)$  is given by (12).

Signal  $s(n)$  is provided to the 4-DPSK demodulator 100. The output of the 4-DPSK demodulator 100,  $a_{1,2}(n)$ , is an estimate of the output of the channel encoder 85 of the base transmitters (the "•" indicating an estimated value). Demodulator 100 provides  $z(n)$  according to the following expression:

$$z(n) = s(n) s^*(n-2) e^{j\frac{\pi}{4}} \quad (14)$$

where  $s^*$  indicates the complex conjugate of  $s$ . Complex symbol  $z(n)$  is further processed by demodulator 100 to provide values for  $a_{1,2}(n)$  as follows:

$$\hat{a}_1(n) = \text{Re} [ z(n) ];$$

$$\hat{a}_1(n+1) = \text{Im} [ z(n) ];$$

$$\hat{a}_2(n) = \text{Re} [ z(n+1) ]; \quad (15)$$

$$\hat{a}_2(n+1) = \text{Im} [ z(n+1) ];$$

Values of  $\hat{a}_{1,2}(n)$  are then provided to channel decoder 110 (complementary to channel coder 85) which provides a decoded information signal estimate,  $x(i)$  by

(i) forming a value  $U(n) = \hat{a}_1(n) + \hat{a}_2(n)$ ;

(ii) determining  $\bar{d}(n)$  as follows:

$$\begin{aligned} U(n) > 0 & \quad \bar{d}(n) = 1 \\ U(n) < 0 & \quad \bar{d}(n) = -1; \end{aligned} \quad (16)$$

and

(iii) conventionally decoding  $\bar{d}(n)$  to provide  $x(i)$ . Information signal estimate,  $x(i)$ , is provided to information sink 75 which makes use of the information in any desired manner.

The above discussion of the second embodiment includes an example where  $N, M = 2$ . The generality of equations (9)-(11) may further be seen when  $N > M$ . For example, assuming,  $N = 4$  and  $M = 2$ , the first multiplier 50 provides no phase shift (i.e.,  $u(n)$  multiplied by unity), and the second multiplier provides phase shift for each block of  $\theta_2(n) = 0$ ;  $\theta_2(n+1) = \pi$ ;  $\theta_2(n+2) = \pi/2$ ; and  $\theta_2(n+3) = 3\pi/2$ . In this case, the signal received by a receiver,  $s$ , will be of the form:

$$\begin{aligned} s(n) &= (\beta_1(n) + \beta_2(n))u(n) + v(n) \\ s(n+1) &= (\beta_1(n+1) - \beta_2(n+1))u(n+1) + v(n+1) \\ s(n+2) &= (\beta_1(n+2) + j\beta_2(n+2))u(n+2) + v(n+2) \\ s(n+3) &= (\beta_1(n+3) - j\beta_2(n+3))u(n+3) + v(n+3) \end{aligned} \quad (17)$$

The complex envelope of the received signal is

$$r(n) = (\beta_1(n) e^{j\theta_1(n)} + \beta_2(n) e^{j\theta_2(n)}) \quad (18)$$

According to this particular embodiment (where  $N = 4$  and  $M = 2$ ),  $r(n)$  and  $r(n+1)$  are uncorrelated as are  $r(n+2)$  and  $r(n+3)$ . Values  $r(n+2)$  and  $r(n+3)$  are partially decorrelated from  $r(n)$  and  $r(n+1)$ . Use of a conventional interleaver/deinterleaver pair will render all four of these values approximately uncorrelated. In a very slow fading channel in the absence of phase variations provided in accordance with the present invention, all four of these values,  $r(n)$ ,  $r(n+1)$ ,  $r(n+2)$ , and  $r(n+3)$ , will be highly correlated and will require an interleaver of large dimension. By use of the present embodiment, only two of the four values need decorrelation by operation of an interleaver. Thus, the delay due to interleaving may be reduced by more than a factor of two.



Claims

1. A method of transmitting digital signal information to a receiver with use of a plurality of M antennas, each antenna located at a base station, the method comprising the steps of:

applying a channel code to a digital signal to produce one or more symbols;  
providing a copy of a symbol at each base station;  
weighting each of the M copies of the symbol with a distinct time varying function to form M weighted symbol copies;  
substantially simultaneously transmitting M signals with M different antennas, each antenna located at a base station, each transmitted signal based on a distinct one of the M weighted symbol copies.

2. The method of claim 1 wherein the step of applying a channel code comprises the step of applying a convolutional code.

3. The method of claim 1 wherein the step of applying a channel code comprises the step of applying a block code.

4. The method of claim 1 wherein each time varying function provides an amplitude gain to a symbol.

5. The method of claim 4 wherein the amplitude gain is  $\frac{1}{\sqrt{M}}$

6. The method of claim 1 wherein each time varying function provides a phase shift to a symbol.

7. The method of claim 6 wherein a phase shift applied to symbols is based upon a transmitted symbol data rate.

8. The method of claim 6 wherein a phase shift applied to an nth symbol for the mth antenna is

$$2\pi f_{\Delta}[(m-1) - \frac{1}{2}(M-1)]nT_d,$$

wherein  $f_{\Delta}$  is a fraction of a transmitted symbol data rate and  $T_d$  is a reciprocal of the transmitted symbol data rate.

9. The method of claim 6 wherein a phase shift,  $\theta_m(l)$ , applied to an nth symbol for the mth antenna is

$$2 \frac{\pi}{kM} (m-1)(1-n),$$

for

$$n \leq 1 \leq (n+M-1),$$

Or

$$2 \frac{\pi}{kM} (m-1)(1-M-n+n'+1),$$

for

$$n+M \leq 1 \leq (n+N-1),$$

where k and n' are integers which satisfy the following expressions:

$$(k-1)M + 1 \leq N \leq kM$$

and

$$n'(k-1) < (l-M-n+1) \leq (n'+1)(k-1).$$

10. The method of claim 1 further comprising the step of processing a plurality of symbols with an interleaver.
11. The method of claim 1 further comprising the step of processing a symbol with a constellation mapper.
12. The method of claim 11 wherein the constellation mapper comprises a DPSK constellation mapper.
13. The method of claim 11 wherein the constellation mapper comprises a PSK constellation mapper.
14. A transmitter for a wireless communication system for transmitting signals to a receiver, the transmitter comprising:  
a plurality of base stations, each having a channel coder for receiving a digital information signal and producing one or more symbols based on said digital information signal;  
an information symbol weighting means at each of said base stations, coupled to each channel coder, each such means for weighting a symbol with a distinct time varying function; and  
an antenna coupled to each of said symbol weighting means, for transmitting substantially simultaneously M signals based on weighted symbols.
15. The transmitter of claim 14 wherein the signals for transmission to a receiver are provided to the transmitter by an information source comprising a telephone network.
16. The transmitter of claim 14 wherein the channel coder comprises a convolutional channel coder.
17. The transmitter of claim 14 wherein the channel coder comprises a block code channel coder.
18. The transmitter of claim 14 wherein one or more of the M information symbol weighting means comprise a multiplier applying the distinct time varying function.
19. The transmitter of claim 14 wherein the time varying function provides a phase shift to a symbol.
20. The transmitter of claim 14 wherein the distinct time varying function provides an amplitude gain to a symbol.
21. The transmitter of claim 14 further comprising a constellation mapper, coupled to receive symbols and to provide mapped symbols to the plurality of weighting means.
22. The transmitter of claim 21 wherein the constellation mapper comprises a PSK constellation mapper.
23. The transmitter of claim 21 wherein the constellation mapper comprises a DPSK constellation mapper.
24. The transmitter of claim 14 further comprising an interleaver, coupled to the channel coder, for producing a plurality of interleaved symbols.

FIG. 1A

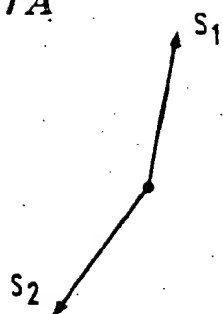


FIG. 1B

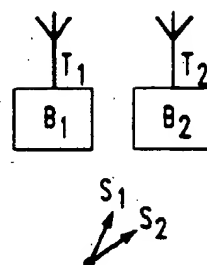


FIG. 2A

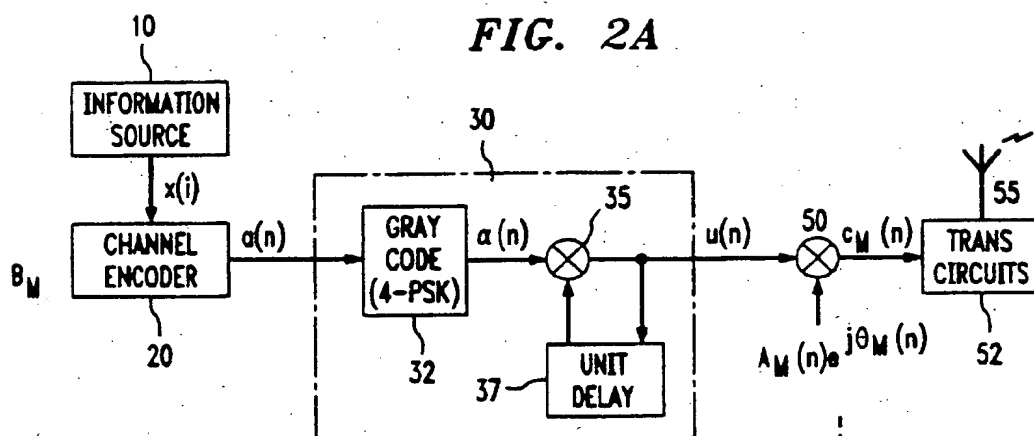


FIG. 2B

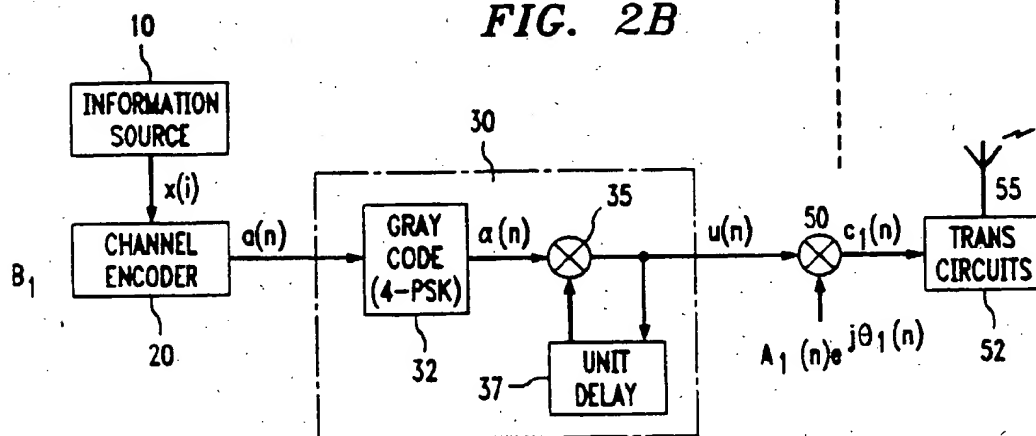


FIG. 3

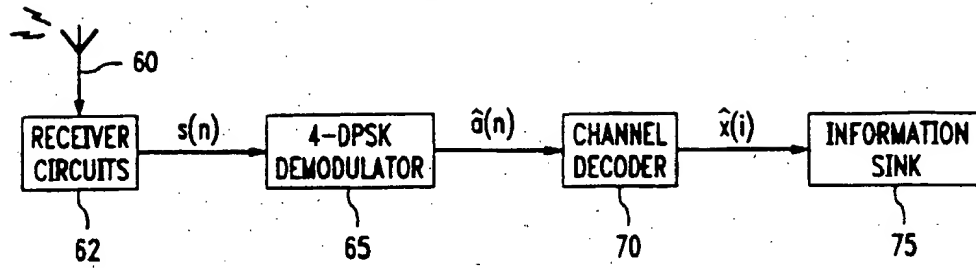


FIG. 4A

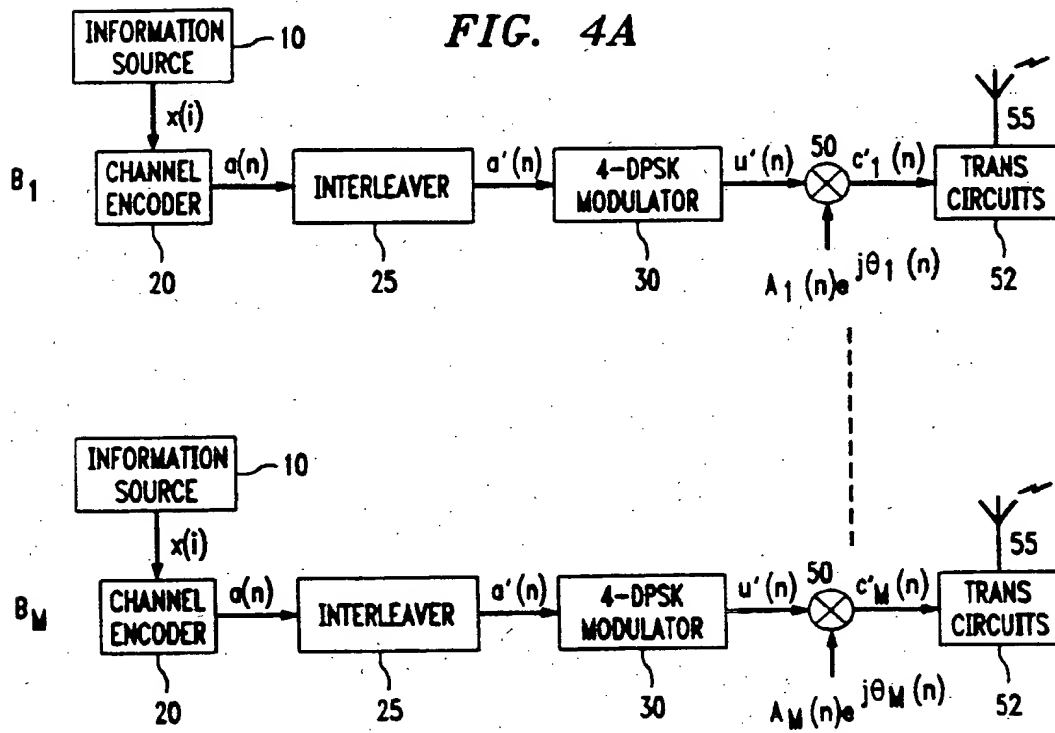


FIG. 4B

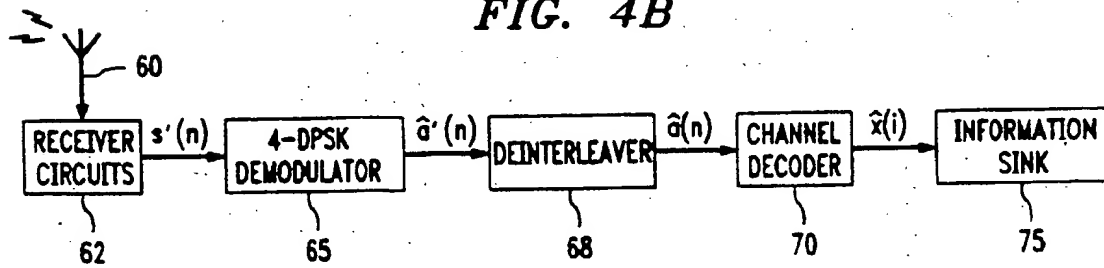


FIG. 5A

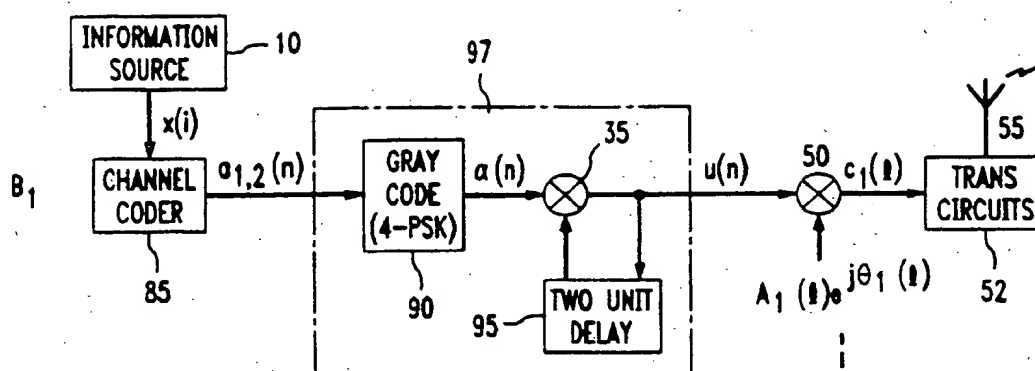


FIG. 5B

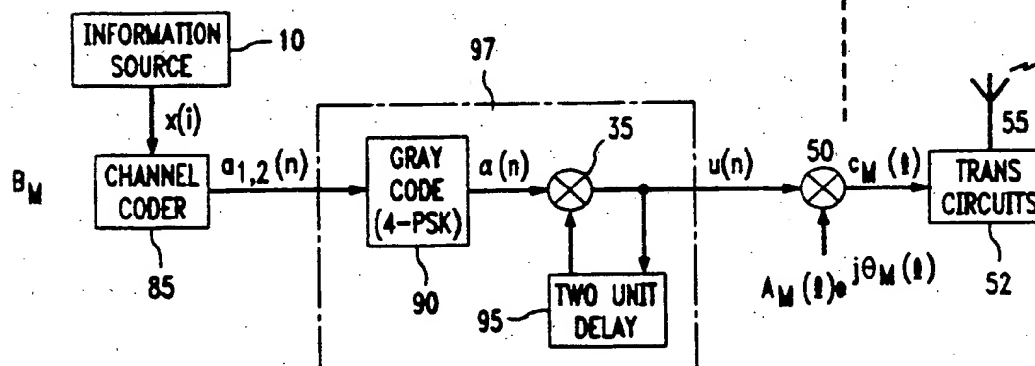
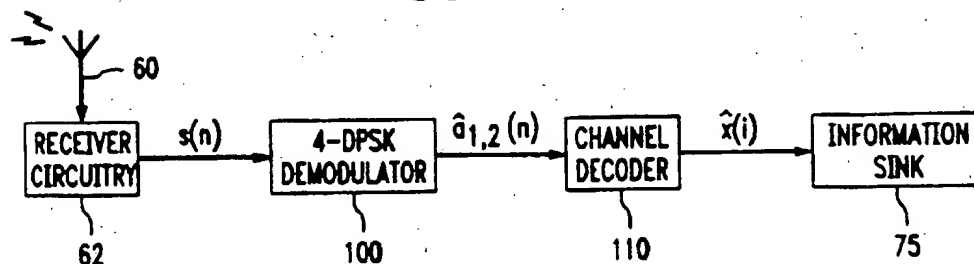


FIG. 6



(19)



Europäisches Patentamt  
European Patent Office  
Office européen des brevets



(11)

**EP 0 767 546 A3**

(12)

**EUROPEAN PATENT APPLICATION**

(88) Date of publication A3:  
19.04.2000 Bulletin 2000/16

(51) Int Cl.7: **H04B 7/06, H04L 1/02**

(43) Date of publication A2:  
09.04.1997 Bulletin 1997/15

(21) Application number: **96306967.9**

(22) Date of filing: **25.09.1996**

(84) Designated Contracting States:  
**DE ES FR GB IT**

(72) Inventor: **Weerackody, Vijitha**  
**Watchung, New Jersey 07060 (US)**

(30) Priority: **04.10.1995 US 539211**

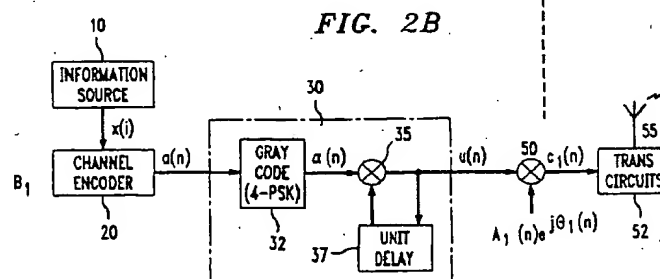
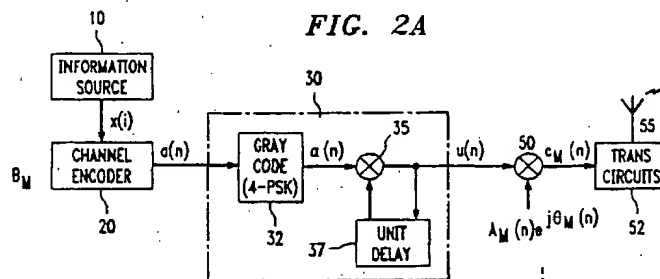
(74) Representative:  
**Buckley, Christopher Simon Thirsk et al**  
**Lucent Technologies (UK) Ltd,**  
**5 Mornington Road**  
**Woodford Green, Essex IG8 0TU (GB)**

(71) Applicant: **AT&T Corp.**  
**New York, NY 10013-2412 (US)**

(54) **Method and apparatus for providing time diversity using multiple base stations**

(57) The invention provides a method and apparatus for transmitting digital signaling information (from 10) to a receiver using a plurality of antennas (55). The invention involves applying a channel code (in 20) to a digital signal producing one or more symbols. A plurality of symbol copies is made and each copy is weighted (in 50) by a distinct time varying function. Each of the antennas which are located at two or more base stations

transmits a signal based on one of the weighted symbol copies. Any channel code may be used with the invention, such as a convolutional channel code or block channel code. Weighting provided to symbol copies may involve application of an amplitude gain, phase shift, or both. The present invention may be used in combination with either or both conventional interleavers and constellation mappers.





European Patent  
Office

## EUROPEAN SEARCH REPORT

Application Number  
EP 96 30 6967

| DOCUMENTS CONSIDERED TO BE RELEVANT   |  |  |  |
|---|--|--|--|
| Category  | Citation of document with indication, where appropriate, of relevant passages  | Relevant to claim  | CLASSIFICATION OF THE APPLICATION (Int.Cl.6) |
| Y   | EP 0 572 171 A (AMERICAN TELEPHONE & TELEGRAPH) 1 December 1993 (1993-12-01)<br>* the whole document *   | 1-24   | H04B7/06<br>H04L1/02                         |
| Y   | EP 0 674 455 A (NIPPON TELEGRAPH & TELEPHONE) 27 September 1995 (1995-09-27)<br>* column 4, line 19 - column 6, line 2 *<br>* column 6, line 18 - line 35 *<br>* figure 2 *<br>* claim 1 * | 1-24   |  |
| A   | EP 0 571 029 A (PHILIPS ELECTRONICS UK LTD ; KONINKL PHILIPS ELECTRONICS NV (NL)) 24 November 1993 (1993-11-24)<br>* column 4, line 54 - line 58 *<br>* claim 1 *                          | 1-24   |  |
| A   | US 5 289 499 A (WEERACKODY VIJITHA) 22 February 1994 (1994-02-22)<br>* column 5, line 36 - column 6, line 23 *<br>* column 6, line 46 - column 8, line 43 *                                | 1-24   |  |
|   |  |  | TECHNICAL FIELDS SEARCHED (Int.Cl.6)         |
|   |  |  | H04B<br>H04L                                 |
| The present search report has been drawn up for all claims  |  |  |  |
| Place of search<br>THE HAGUE  |  | Date of completion of the search<br>28 February 2000   | Examiner<br>Larcinese, A                     |
| CATEGORY OF CITED DOCUMENTS   |  | T : theory or principle underlying the invention<br>E : earlier patent document, but published on, or after the filing date<br>D : document cited in the application<br>L : document cited for other reasons<br>& : member of the same patent family, corresponding document |  |
| X : particularly relevant if taken alone<br>Y : particularly relevant if combined with another document of the same category<br>A : technological background<br>O : non-written disclosure<br>P : intermediate document |  |  |  |

EPC FORM 1503 03.92 (P04C01)

**ANNEX TO THE EUROPEAN SEARCH REPORT  
ON EUROPEAN PATENT APPLICATION NO.**

EP 96 30 6967

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report.  
The members are as contained in the European Patent Office EDP file on  
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

28-02-2000

| Patent document<br>cited in search report | Publication<br>date | Patent family<br>member(s) | Publication<br>date |
|---|---------------------|----------------------------|---------------------|
| EP 0572171 A                              | 01-12-1993          | US 5305353 A               | 19-04-1994          |
|   |                     | CA 2094193 A,C             | 30-11-1993          |
|   |                     | JP 2859513 B               | 17-02-1999          |
|   |                     | JP 6037683 A               | 10-02-1994          |
|   |                     | US 5457712 A               | 10-10-1995          |
| EP 0674455 A                              | 27-09-1995          | WO 9510924 A               | 20-04-1995          |
|   |                     | JP 2691255 B               | 17-12-1997          |
| EP 0571029 A                              | 24-11-1993          | DE 69320102 D              | 10-09-1998          |
|   |                     | DE 69320102 T              | 11-03-1999          |
|   |                     | JP 6062456 A               | 04-03-1994          |
|   |                     | US 5448752 A               | 05-09-1995          |
| US 5289499 A                              | 22-02-1994          | CA 2109789 A,C             | 30-06-1994          |
|   |                     | EP 0605119 A               | 06-07-1994          |
|   |                     | JP 2780918 B               | 30-07-1998          |
|   |                     | JP 6303214 A               | 28-10-1994          |
|   |                     | US 5394435 A               | 28-02-1995          |

EPO FORM P0459

For more details about this annex : see Official Journal of the European Patent Office, No. 12/82



**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☒ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**